Attorney Docket No. 3000.2

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 2 1 2001

In re Application of: O. James Peterson IV

Art Unit: 2161 Technology Center 2100

Serial No. 09/896,068

Examiner:

Filed: June 29, 2001

For: A METHOD OF PROCURING FINANCIAL PRODUCTS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

- Pursuant to Rule 56 and Rule 97 et seq., Applicant hereby submits Form PTO/SB/08A and the references listed thereon (attached hereto).

The relevancy of each reference is disclosed in the specification.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on September 12, 2001.

Applicant respectfully requests that each reference be reviewed and made of reference in the instant application.

Respectfully submitted,

Robert H. Hammer III Attorney for Applicant Reg. No. 31,764

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PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known

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Filing Date

Application Number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	•		Group Art Unit	2161		
s many sheets as necessary)			Examiner Name			
1	of	1	Attorney Docket Number	3000.2		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Number	Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,915,209		Lawrence	06/22/1999	
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		6,233,566		Levine, et al	05/15/2001	Te
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Initials*	No.1	Office ³	Number ⁴	(if known)	(if known) Applicant of Cited Document	Cited Document Passages of Figures A	Passages or Relevant Figures Appear	ant T6
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.